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APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/646,738 Yoshifumi Nagai 08/25/2003 2003\_1175 1782 513 **EXAMINER** 7590 08/29/2006 WENDEROTH, LIND & PONACK, L.L.P. PIZIALI, JEFFREY J 2033 K STREET N. W. SUITE 800 **ART UNIT** PAPER NUMBER WASHINGTON, DC 20006-1021 2629

DATE MAILED: 08/29/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Notice of Non-	Compliant
Amendment (37	<b>CFR 1.121)</b>

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Application No.	Applicant(s)	
10/646,738	NAGAI ET AL.	
Examiner	Art Unit	
Jeff Piziali	2629	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

The amendment document filed on <u>19 June 2006</u> is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:  1. Amendments to the specification:  A. Amended paragraph(s) do not include markings.  B. New paragraph(s) should not be underlined.  C. Other See Continuation Sheet.
<ul> <li>2. Abstract:</li> <li>A. Not presented on a separate sheet. 37 CFR 1.72.</li> <li>B. Other</li> </ul>
<ul> <li>3. Amendments to the drawings:</li> <li>A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).</li> <li>B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.</li> <li>C. Other</li> </ul>
<ul> <li>✓ 4. Amendments to the claims:</li> <li>☐ A. A complete listing of all of the claims is not present.</li> <li>☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)</li> <li>☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).</li> <li>☐ D. The claims of this amendment paper have not been presented in ascending numerical order.</li> <li>☐ E. Other: See Continuation Sheet.</li> </ul>
5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4):

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.

## TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:

- 1. Applicant is given **no new time period** if the non-compliant amendment is an after-final amendment or an amendment filed after allowance. If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted.
- 2. Applicant is given **one month**, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a *Quayle* action. If any of above boxes 1. to 4. are checked, the correction required is only the **corrected section** of the non-compliant amendment in compliance with 37 CFR 1.121.

Extensions of time are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action.

Failure to timely respond to this notice will result in:

Abandonment of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a Quayle action; or

Non-entry of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

Legal Instruments Examiner (LIE), if applicable

Telephone No.

## Continuation of 1(c) Other:

First and foremost, the applicants are cordially thanked for the 'Amendment' (filed 19 June 2006). However, several seemingly non-compliant amendments have been discovered in the aforementioned response, requiring attention before examination may continue.

C.F.R. § 1.121(b)(2)(ii) requires, "A replacement section with markings to show all changes relative to the previous version of the section. The text of any added subject matter must be shown by underlining the added text. The text of any deleted matter must be shown by strike-through except that double brackets placed before and after the deleted characters may be used to show deletion of five or fewer consecutive characters. The text of any deleted subject matter must be shown by being placed within double brackets if strike-through cannot be easily perceived."

The 'Amendment' (filed 19 June 2006) improperly uses strike-through to show deletion of five or fewer consecutive characters and when strike-through cannot be easily perceived. For example, strike-through is applied to apparently delete a single character and even a single piece of punctuation (e.g., see Page 8, Line 20 and Page 46, Line 11 of the marked-up replacement specification filed 19 June 2006). The applicants are respectfully encouraged to use double brackets to show deletion of five or fewer consecutive characters.

## Continuation of 4(e) Other:

C.F.R. § 1.121(c)(2) requires, "All claims being currently amended in an amendment paper shall be presented in the claim listing, indicate a status of "currently amended," and be submitted with markings to indicate the changes that have been made relative to the immediate prior version of the claims. The text of any added subject matter must be shown by underlining the added text. The text of any deleted matter must be shown by strike-through except that double brackets placed before and after the deleted characters may be used to show deletion of five or fewer consecutive characters. The text of any deleted subject matter must be shown by being placed within double brackets if strike-through cannot be easily perceived."

The 'Amendment' (filed 19 June 2006) improperly uses strike-through to show deletion of five or fewer consecutive characters and when strike-through cannot be easily perceived (e.g., see Page 9, Claim 20, Line 6 of the 'Amendment' filed 19 June 2006). The applicants are respectfully encouraged to use double brackets to show deletion of five or fewer consecutive characters.

By such reasoning, this Notice of Non-Compliance is deemed necessary and proper at this time.

Please note: Due to the significant length and degree of the 'Amendment' (filed 19 June 2006), the amendments have not been checked to the extent necessary to determine the presence of all possible non-compliance errors. If additional issues of non-compliance are discovered at the time of a subsequent response, yet another Notice of Non-Compliance will be necessitated. Applicants' cooperation is requested in correcting any other errors of which applicants may become aware.

Jeff Piziali

23 August 2006